SOCIALIST REPUBLIC OF VIETNAM

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**SUSTAINABLE FISHERIES DEVELOPMENT PROJECT**

**FOR MINISTRY OF AGRICULTURE AND ENVIRONMENT (P512407)**

**ENVIRONMENTAL and SOCIAL**

**COMMITMENT PLAN (ESCP)**

**[For Negotiations]**

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**August 2025**

**ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN**

1. The Socialist Republic of Viet Nam (the Borrower) will implement the Sustainable Fisheries Development Project (the Project), with the involvement of the Ministry of Agriculture and Environment (MAE) through the Agricultural Project Management Board (APMB), as set out in the Financing Agreement for the Project – Ministry of Agriculture and Environment (the Agreement). The International Bank for Reconstruction and Development (the Bank) has agreed to provide financing for the activities under the Project to be carried out by the MAE/APMB in five provinces of Hai Phong, Thanh Hoa, Gia Lai, Khanh Hoa and An Giang, as set out in the Agreement.
2. The Borrower, though the MEA/APMB, shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Bank. The ESCP is a part of the Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the Agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Borrower, though the MEA/APMB, shall carry out or cause to be carried out, including, as applicable, their respective timeframes; institutional, staffing, training, monitoring and reporting arrangements; and grievance management. The ESCP also sets out the environmental and social (E&S) documents that shall be prepared or updated, consulted, disclosed and implemented under the Project, consistent with the ESSs, in form and substance acceptable to the Bank. Said E&S documents may be revised from time to time with prior written agreement by the Bank. As provided for under the referred Agreement, the Borrower, though the MEA/APMB, shall ensure that there are sufficient funds available to cover the costs of implementing the ESCP.
4. As agreed by the Bank and the Borrower, this ESCP will be revised from time to time, if necessary, to reflect adaptive management of Project changes or unforeseen circumstances or in response to Project performance. In such circumstances, the Bank and the Borrower, though the MEA/APMB, agree to update the ESCP to reflect these changes through an exchange of letters signed between the Bank and the Borrower’s Representative specified in the Agreement or the Director of the Agricultural Project Management Board. The Borrower shall promptly disclose the updated ESCP.
5. The subsection on “Indicators for Implementation Readiness” below identifies the actions and measures to be monitored to assess Project readiness to begin implementation in accordance with this ESCP. Nevertheless, all actions and measures in this ESCP shall be implemented as set out in the “Timeframe” column below irrespective of whether they are listed in the referred subsection.

| **MATERIAL MEASURES AND ACTIONS** | | | **TIMEFRAME** | **RESPONSIBLE ENTITY** |
| --- | --- | --- | --- | --- |
| **IMPLEMENTATION ARRANGEMENTS AND CAPACITY SUPPORT** | | | | |
| A | **ORGANIZATIONAL STRUCTURE**  a. Maintain an Agricultural Project Management Board (APMB) with qualified staff and resources to support management of environmental, social, health and safety (E&S) risks and impacts of the Project including a Social Specialist, an Environmental Specialist, a Public Communications/Stakeholder Engagement Specialist, and a Grievance Redress Mechanism (GRM) Focal Point.  b. The APMB shall recruit and maintain a qualified Third-party Independent Environmental and Social Monitoring Consultant (IESMC) and a Construction Supervision Consultant (CSC) with qualified Environmental and Social practitioners for monitoring the implementation of the E&S requirements for the Project. The Borrower, through Thanh Hoa PPC, shall implement the recommendations of the IESMC in a manner acceptable to the Bank. | | a. MAE APMB has been established. The Environmental Specialist, the Social Specialist, and GRM Focal point shall be appointed within 30 days after Project Effectiveness, and thereafter maintain the APMB and these positions throughout Project implementation.  b. The APMB shall recruit: (i) an IESMC within 3 months of Project Effectiveness; and (ii) a CSC before commencing any construction activities under the Project. | MAE and APMB |
| B | **CAPACITY BUILDING PLAN/MEASURES**  Prepare and implement the capacity building plans:   * Training for APMB staff, stakeholders, communities, Project workers on: (i) stakeholder mapping and engagement; (ii) the Bank’s Environmental and Social Framework (ESF) and implementation of Project ESF’s instruments including Resettlement (RP), Environmental and Social Management Plan (ESMP), Stakeholder Engagement Plan (SEP), Labor Management Procedures (LMP); (iii) the roles and responsibilities of each entity in the preparation and implementation of the ESF instruments; (iv) grievance redress mechanism; (v) environmental and social supervision, monitoring, and reporting; (vi) labor management procedures and Occupational Health and Safety arrangements; (vii) emergency preparedness and response, community health and safety; (viii) Gender-Based Violence/SEA-SH Risk Mitigation. * APMB staff and related stakeholders to receive training on Terms of Reference preparation and contract management to ensure that the ESS obligations, especially Environmental and Social Code of Practice (ESCOP), ESMP and LMP requirement included in the bidding documents. | | * The plans need to be carried out before the implementation of the Project activities, and thereafter throughout the Project implementation. * Training to be provided before bidding is conducted. | MAE and APMB |
| **MONITORING AND REPORTING** | | | | |
| C | **REGULAR REPORTING**  Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (E&S) performance of the Project. The reports shall include:   * Status of preparation and implementation of E&S documents required under the ESCP. * Summary of stakeholder engagement activities carried out as per the Stakeholder Engagement Plan. * Complaints submitted to the grievance mechanism(s), the grievance log, and progress made in resolving them. * E&S performance of contractors and subcontractors as reported through monthly contractors’ and supervision firms’ reports. * Number and status of resolution of incidents and accidents reported under action E below.   Detailed information on monitoring and reporting will be provided in the E&S documents of the Project, including the ESIA, RP, SEP, and LMP. | | Submit quarterly monitoring report no later than the end of the first week of the subsequent quarter; semestral E&S Third-party Monitoring report no later than the end of the first week of the subsequent six-month period to the Bank throughout Project implementation, commencing after the Project Effective Date. | MAE and APMB |
| D | **CONTRACTORS’ MONTHLY REPORTS**  a. Require contractors and Construction Supervision Consultant (CSC) to provide monthly monitoring reports on E&S performance in accordance with the metrics specified in the respective bidding documents and contracts and submit such reports to the Bank.  b. Require the Independent Environmental and Social Monitoring Consultant (IESMC) to provide a quarterly environmental monitoring report and a biannually social monitoring report. | | Submit the monthly, quarterly and biannually reports to the Bank as annexes to the reports to be submitted under action C above. | MAE and APMB |
| E | **INCIDENTS AND ACCIDENTS**  a. Notify the Bank of any incident or accident relating to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including those resulting in death or significant injury to workers or the public; acts of violence, discrimination or protest; unforeseen impacts to cultural heritage or biodiversity resources; pollution of the environment; dam failure; forced or child labor; displacement without due process (forced eviction); allegations of sexual exploitation or abuse (SEA), or sexual harassment (SH); or disease outbreaks. Provide available details of the incident or accident to the Bank upon request.  b. Arrange for an appropriate review of the incident or accident to establish its immediate, underlying and root causes. Prepare, agree with the Bank, and implement a Corrective Action Plan that sets out the measures and actions to be taken to address the incident or accident and prevent its recurrence. | | a. Notify the Bank no later than 48 hours after learning about the incident or accident. Provide available details upon request.  b. Provide review report and Corrective Action Plan to the Bank no later than 10 days following the submission of the initial notice, unless a different timeframe is agreed to in writing by the Bank. | MAE and APMB |
| **ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS** | | | | |
| 1.1 | **ENVIRONMENTAL AND SOCIAL ASSESSMENTS AND/OR PLANS**  Prepare, update, disclose and implement an Environmental and Social Impact Assessment (ESIA), and corresponding Environmental and Social Management Plan (ESMP) for the Project, consistent with the relevant ESSs as well as national laws and regulations. | | * The ESIA and ESMP have been prepared and publicly disclosed prior to the Project Appraisal, and where necessary shall be updated and redisclosed before the commencement of procurement process for the Project; and thereafter implementation of the ESIA and ESMP throughout Project implementation. * Adopt the ESMP before launching the bidding process for the project that required the adoption of such ESMP. | MAE and APMB |
| 1.2 | **MANAGEMENT OF CONTRACTORS**  Incorporate the relevant aspects of the ESCP, including, inter alia, ESMP, site-specific plans, GRM, the Labor Management Procedures, and code of conduct, into the E&S specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply and that they require their subcontractors to comply with the E&S specifications of their respective contracts. Provide copies of the relevant contracts with contractors/subcontractors and supervision firms to the Bank. Each Contractor shall be required to develop and implement a Contractor’s ESMP (C-ESMP) based on the final ESMP. | | * As part of the preparation of procurement documents and respective contracts. * Supervise contractors throughout Project implementation. Copies of relevant contracts provided to the Bank upon request. * The C-ESMP shall be cleared by the APMB prior to the commencement of construction under the respective contract. | MAE and APMB |
| 1.3 | **TECHNICAL ASSISTANCE**  Carry out the consultancies, studies (including feasibility studies, if applicable), capacity building, training, and any other technical assistance activities under the Project, including, inter alia, ESIAs and RPs in accordance with terms of reference acceptable to the Bank, that are consistent with the ESSs. Thereafter prepare and finalize the outputs of such activities in compliance with the terms of reference. | | Throughout Project implementation | MAE and APMB |
| **ESS 2: LABOR AND WORKING CONDITIONS** | | | | |
| 2.1 | **LABOR MANAGEMENT PROCEDURES**  Prepare and implement the Labor Management Procedures (LMP) for the Project, including, inter alia, provisions on working conditions, management of workers relationships, occupational health and safety (including personal protective equipment, and emergency preparedness and response), code of conduct (including relating to SEA and SH), forced labor, child labor, grievance arrangements for Project workers, and applicable requirements for contractors, subcontractors, and CSC. | | The LMP has been prepared and publicly disclosed prior to the Project Appraisal, and shall be adopted prior to engaging Project workers; and thereafter implement the LMP throughout Project implementation. | MAE and APMB |
| 2.2 | **OCCUPATIONAL HEALTH AND SAFETY MANAGEMENT PLAN**  a. Prepare and implement an OHS Management Plan to assess and manage the OHS risks and impacts of the Project. Require contractors and subcontractors to prepare and implement OHS Management Measures or Plan in accordance with the ESIA and ESMP.  b. Adopt and implement an UXO risk management protocol in the C-ESMP where civil works will be carried out. The APMB shall ensure that the required budget for UXO surveys and clearance is in place. | | a. Prepare an OHS Management Plan as part of the ESMP/CESMP (for each contract) prior to the commencement of activities under the respective contract and thereafter implement the OHS plan throughout Project implementation.  b. Arrange for UXO detection or clearance or secure all UXO Clearance-related certificates and documentations from the appointed authority for the specified project site prior to commencement of any construction or dredging activities. To be reported to the Bank upon receipt of the certificates and reported in the regular reporting. | MAE and APMB |
| 2.3 | **GRIEVANCE MECHANISM FOR PROJECT WORKERS**  Maintain and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2 as well as national laws and regulations. | | Maintain grievance mechanism prior engaging Project workers and thereafter maintain and operate it throughout Project implementation. | MAE and APMB |
| **ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT** | | | | |
| 3.1 | **WASTE MANAGEMENT PLAN**  Adopt and implement a Waste Management Plan (WMP), as part of the ESMP prepared for the Project to manage hazardous and non-hazardous wastes, consistent with ESS3 as well as national laws and regulations. | | Adopt the WMP before commencement of Project activities and thereafter implement the WMP throughout Project implementation. | MAE and APMB |
| 3.2 | **RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT**  Incorporate resource efficiency and pollution prevention and management measures in the ESMP to be prepared under action 1.1 above. | | Prepare prior to Project Appraisal, implement throughout Project implementation. | MAE and APMB |
| **ESS 4: COMMUNITY HEALTH AND SAFETY** | | | | |
| 4.1 | **TRAFFIC AND ROAD SAFETY**  Incorporate measures to manage traffic and road safety risks as required in the ESMP to be prepared under action 1.1 above. | | Same time as for the preparation and implementation of the ESMP. | MAE and APMB |
| 4.2 | **COMMUNITY HEALTH AND SAFETY**  Assess and manage specific risks and impacts to the community arising from Project activities, including, inter alia, behavior of Project workers, risks of labor influx, response to emergency situations, and include mitigation measures in the ESMP and LMP prepared in accordance with the ESS4 as well as national laws and regulations. | | Same time as for the preparation and implementation of the ESMP and LMP. | MAE and APMB |
| 4.3 | **SEA AND SH RISKS**  Implement and monitor the requirements of SEA/SH risks management and mobilize the CSC to monitor the daily implementation of these requirements for each civil works package and report findings in the monthly ESHS reports. | | * Include requirements for SEA/SH risks management into bidding documents before bidding. * Mobilize the CSC to monitor the implementation of the SEA/SH risks mitigation actions for each civil works package during the implementation, and report findings in the monthly ESHS report throughout Project implementation, starting from the commencement date of activities. | MAE and APMB |
| 4.4 | **SECURITY MANAGEMENT**  a. No expectations of security personnel under the Project.  b. In the event that security personnel is used for the project, assess, develop and implement measures to manage the security risks of the Project, including the risks of engaging security personnel to safeguard project workers, sites, assets, and activities. | | Prior to engaging security personnel and implementing throughout Project implementation. | MAE and APMB |
| **ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT** | | | | |
| 5.1 | **RESETTLEMENT PLAN**  Prepare and implement a Resettlement Plan (RP) under the Project consistent with ESS5 as well as national laws and regulations. | | The RP has been prepared and publicly disclosed prior to Project Appraisal, and where necessary it shall be updated and redisclosed; and implement prior to carrying out the relevant works, including ensuring that before taking possession of the land and related assets, full compensation has been provided and displaced people have been resettled and moving allowances have been provided. | MAE and APMB |
| **ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES** | | | | |
| 6.1 | **BIODIVERSITY RISKS AND IMPACTS**  Prepare and implement a Biodiversity Management Plan (BMP), as part of the ESMP, in accordance with the guidelines of the ESIA prepared for the Project, and consistent with ESS6 as well as national laws and regulations. | | Same timeframe as for the preparation and implementation of the ESMP. | MAE and APMB |
| **ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES** | | | | |
|  | There are no ethnic minorities in the Project area. | |  |  |
| **ESS 8: CULTURAL HERITAGE** | | | | |
| 8.1 | **CULTURAL HERITAGE RISKS AND IMPACTS**  Assess the impacts on cultural heritage in Project area and include and implement measures, as part of the ESIA and ESMP, consistent with ESS8 as well as national laws and regulations. | | Same timeframe as for the preparation and implementation of the ESMP. | MAE and APMB |
| 8.2 | **CHANCE** **FINDS**  Describe and implement the chance finds procedures, as part of the ESMP of the Project. | | Same timeframe as for the preparation and implementation of the ESMP. | MAE and APMB |
| **ESS 9: FINANCIAL INTERMEDIARIES** | | | | |
|  | No financial intermediaries are expected to be involved in the Project; this standard is not relevant for the Project. | | | |
| **ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE** | | | | |
| 10.1 | **STAKEHOLDER ENGAGEMENT PLAN**  Update and implement a Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10 as well as national laws and regulations, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation. | | The SEP has been prepared and publicly disclosed prior to the Project Appraisal, and where necessary shall be updated and redisclosed before the Project Effectiveness, and thereafter implementation of the SEP throughout Project implementation. | MAE and APMB |
| 10.2 | **PROJECT GRIEVANCE MECHANISM**  a. Establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10 as well as national laws and regulations.  b. Within the APMB, appoint a GRM focal point to provide essential coordination of different administrative levels for handling complaints in a timely and satisfactory manner.  c. The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner. | | a. Publicize the grievance mechanism prior to Project Effectiveness, and thereafter maintain and operate the mechanism throughout Project implementation.  b. The GRM focal point shall be appointed within 30 days after Project Effectiveness. | MAE and APMB |
| **INDICATORS FOR IMPLEMENTATION READINESS** | | | | |
| The following actions are indicators for implementation readiness: | | | | |
| **S/N** | | **Indicator** | | |
| A | | Appointment of a Social Specialist, an Environmental Specialist, a Public Communications/Stakeholder Engagement Specialist, and a Grievance Redress Mechanism (GRM) Focal Point within the APMB within 30 days after the Project Effectiveness. | | |
| A | | Recruitment and mobilization of IESMC within 3 months after the Project Effectiveness. | | |
| B | | Completion of trainings for APMB’s staff, relevant stakeholders before implementation of Project activities. | | |
| B | | Trainings to be conducted by IESMC within one month of signing construction contract. | | |
| 1.1 | | ESIA, ESMP are adopted and publicly disclosed before launching the bidding process. | | |
| 2.1 | | LMP is adopted before engaging Project workers. | | |
| 2.3 | | Grievance mechanism for Project workers is maintained and operated within 30 days after Project Effectiveness. | | |
| 5.1 | | RP is adopted and publicly disclosed before taking possession of the land and related assets. | | |
| 5.1 | | Counterpart budget is timely and sufficiently allocated for implementation of land acquisition and resettlement before taking possession of the land and related assets. | | |
| 10.1 | | SEP is adopted and publicly disclosed before Project Effectiveness. | | |
| 10.2 | | Project GRM is publicized, maintained and operated before Project Effectiveness. | | |